

Risks-based Checks

*Sampling costs, beneficiaries and operations
for administrative and on-the-spot checks*

Live Webinar

+On demand for specific groups

By

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Risks-based Checks

Sampling costs, beneficiaries and operations for administrative and on-the-spot checks

- Learning objective
 - Mapping, scoring and mitigating the risks using sampling methods
- Learning points
 - Tackling in concrete the identification of specific risks
 - Setting-up a full action plan of mitigation actions
 - Ensuring selection of operations / expenditures for sampling
 - Proportionating the checks to the level of risks
 - Planning the checks and organizing the visits on-the-spot
 - Making clear distinction between statistical / non statistical sampling
 - **Identifying the best practices for scoring and weighting the risks and risk factors**
 - Defining the qualification of risks managers
 - Drafting an Action plan for design of risk management system
- Methodology
 - Online preparation
 - Live webinar from 9 to 3.15pm
 - Discussions, group work, cases and examples
 - Test and certificate of qualification

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DAY 1

Morning session

Step 1: the Audit Risk Model (categories of risks and risks factors)

- The new expectations for verification and most common errors
- Distinction between audit & verification
- The difference between risks and risks factors
- The risks in EU Funds: identification, scoring and weighting

Step 2: Initial risk assessment methods

- Classification of risks
- Likelihood and impact scoring
- Specific assessment of risks during Appraisal (ex-ante)
- The specific risk assessment methodology (examples)
- Scoring and weighting of risks in practice 1/2

Lunch from 12.00am to 1.00pm

Afternoon session

Step 3: Risks identification

- Scoring and weighting of risks in practice 1/2
- The final scoring of risks per operation / beneficiary
- The consequences on sampling (frequency and intensity) of checks for Payment claims, expenditures and on-the-spot checks

Welcome : 9:00 / End : 3:15



Breaks at 10.45am and 12 to 13pm

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DAY 2

Morning session

Step 4: Exercises in group : risks & mitigation of actions workshop

Practical cases to sample payment claims and expenditures

- Generic risks factors and cut-off approach
- Specific cluster / anomalous sampling
- Use of random checks
- Concrete illustrations

Step 5: Exercises in group : workshop

Practical cases : selection of operations and expenditures to be verified

- Methods and exercises about sampling operations
- Identification & weighting of risks
- Corrective action at project and system levels
- Evolution of criteria and size of samples
- Discussion based on case work

Lunch from 12.00am to 1.00pm

Afternoon session

Step 6: Consequences for the Management and control system

- planning : introduction and concrete case work
- Revising the risks and adapting the checks
- The specific function and job of risk manager

Welcome : 9:00 / End : 3:15



Breaks at 10.45am and 12 to 13pm

How it works?

- Step 1 : Pre-registration on line on <https://etcp.fr/register/>
 - Pre-register to save your seat
 - You can register as individual or register as a group
- Step 2 : you make final registration
 - Final registration to get your invoice
 - Each participant get a Voucher to get access to ETCP platform
- Step 3 : you log on ETCP platform
 - For each Seminar a specific AREA including all invitations, links and documents
- Step 4 : you get your certificates
 - A certificate of attendance and qualification based on a Quiz
 - *in case of group registration, full group reporting about participation to the trainer manager*