



Better Risks Management

*Sampling operations, payment claims and expenditures
in a simple and effective way*

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Roma

By

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Better Risks Management

Sampling operations, payment claims and expenditures in a simple and effective way

- Learning objective
 - Understanding of key components and process elements of risk management
 - Example list of EU funds specific risks
 - Practical guidances to evaluate and improve risk management system
- Learning points
 - To create systematic understanding of risk management concept
 - To tackle in concrete the identification of generic / specific risks
 - To ensure the full scoring and weighting of risks factors
 - To make sampling of operations, PC and expenditures (case studies)
 - To set up a full action plan of mitigation actions / corrections
 - To reinforce ex-ante risks management
 - To improve on-the-spot checks
 - To ensure full risks-based strategy for selection of operations / expenditures
- Special points
 - Direct integration of latest guidance from EC
 - Lecture, practical examples
 - Case studies
 - Templates to be used directly after the training



Goran ZAKANJI

Audit Authority – Head for ESF, FEAD and ERASMUS+

- Trainer on audit, internal controls and sampling
- International Expert:
 - Support to development of an effective internal control and audit environment in public sector in Moldova
 - Further development and strengthening of the organizational, administrative and technical capacities of the Audit Authority of Macedonia
 - Implementation of a modern Financial Management and Control System and Public Financial Inspection in Albania
 - Support to the capacity building of the Audit Authority, Serbia
 - Technical Assistance and Information Exchange instrument of the European Commission (TAIEX) expert
 - Strengthening of Audit Authority of Montenegro
 - TAIEX expert
- Member of the EC working group «Increasing efficiency of audit activities by making best use of management verifications»



Franck Sottou

Senior International Management and Control Expert

- Key international Expert
 - Management and control systems
 - Indicators and performance measurement (data collection)
 - Simplification and economical, social and environmental strategies
- Former Head of TA Team
 - Programming, negotiations with EC, management & control systems
- Trainer and Consultant for European Institutions, Managing and Certifying Authorities, IB and Implementing Agencies
- Currently working for Member States for technical assistance
 - Design of logframes and selection of indicators
 - Calculation and implementation of SCOs
 - Recommendations about simplification and efficiency of systems

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DAY 1 : Making identification of risks and risks factors

Morning session *
9.30am to 12.30pm

Step 1: The new expectations for the 2021-2027 period

- New risks-based administrative and on-the-spot checks
- New application of professional judgement
- New order of verification and specific risks associated

Step 2: The Initial risk assessment definitions and methods

- Classification of risks and risks factors
- Specific EU funds risks v. IFAC standards
- Scoring of likelihood and impact
- The specific risk assessment methodology (examples)

Lunch from 12.30pm to 2.00pm

Afternoon session
2.00pm to 4.30pm

Step 3: Case study

- Identification and classification of risks
- The specific fraud risk assessment system
- Risks-based checks: introduction and concrete case work on the strategy of checking at operation, Payment Claims, expenditures and on-the-spot level

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DAY 2 : ensuring sampling of costs, payment claims and operations

Morning session

9.00am to 12.30pm

Exercises in group : workshop

Practical cases : selection of operations and expenditures to be verified

- Screening of risks and sample sizes
- Case 1 : Methods and exercises about sampling operations and payment claims
 - Identification & weighting of risks
- Case 2 : Sampling of expenditures
 - Clusters, cut-off and random checks
 - Discussion based on case work

Lunch from 12.30pm to 2.00pm

Afternoon session

2.00pm to 4.00pm

Step 4: Specific focus on Simplified Costs options

- Options and success conditions
- Specific risks at operation and expenditure levels
- Case study for a single lump sum
- Consequences for the contract and beneficiaries audit trail
- Lessons to be learnt for Managing Authorities / IB and audit authorities

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DAY 3 : adapting systems and tools to the new expectations

Morning session

9.00am to 12.30pm

Exercises in group : risks & mitigation of actions workshop

Practical consequences on the Management and Control system (walk-through):

- Risks identification and prevention
- Ex-ante checks / Contracts
- Payment claims checks
- On-the-spot checks
- Risks managers v. verification officers
- Audit trail

Lunch from 12.30pm to 2.00pm

Afternoon session

2.00pm to 4.00pm

Step 5: Full action plan

- Concluding on risks and issuing a clear risks strategy
- Improvement of control systems and cost-effective controls
- Conditions for successful introduction and implementation of SCOs
- Changes for the officers and daily jobs
- Focus on Annual reports & dealing with the Management Declaration of Assurance

Prices

Days	Total net amount €	Discounts	Including
Face-to-face			
2-day	1109,00	15% early registration (up to 2 months before the event) ; 10% for 2 or more participants from the same institution	Training material; breaks, lunches and 1 dinner for the 4 and 5-day Seminars, full access to ETCP platform and quizzes, certificate of qualification
3-day	1305,00		
4-day	1405,00		
5-day	1480,00		

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